



BSCI Audit Summary Report

All rights reserved. No part of this publication may be reproduced, translated, stored in a retrieval system, or transmitted, in any form or by any , means electronic, mechanical, photocopying, recording or otherwise, be lent, re-sold, hired out or otherwise circulated without the FTA's consent.

This is an extract of the BSCI Audit Report, which is available in the BSCI Platform.

© Foreign Trade Association (FTA), 2013 - The English version is the legally binding One.

Producer Information

Name of producer : Lumi Legend Corporation
 DBID number : 22209
 Audit ID : 19733
 Address : Tianyang Industrial Park, Sanbei, Longshan Town, Cixi, Ningbo, Zhejiang, China, 315331,, Ningbo
 Province : Zhejiang Country : China
 Management Representative : Mr. ZHANG Kai
 Contact person: Lumi Legend Corp. Mr.
 Sector : Non-Food Industry Type : Extractive Industry
 Product group : Metal production Product Type : TV Mount

Audit Details

Type of audit : Full Audit Re-Audit
 Sub type of audit : Combined audit Special investigation- RUC Special investigation- Other Unannounced/ Semiannounced
 Audit date : 29/07/2014 Audit Duration : 1.000
 Audit methodology : Industrial Primary Production
 Auditing Company : UL-RS
 Auditing Company Branch :
 Auditing company address : UL-Responsible Sourcing Inc. IQ Winnersh 530/ 535 Eskdale Road,,,
 Lead Auditor Name(SA8000 Certificate Number) : Sophia XU (A8606)
 Team Member Name(SA8000 Certificate Number) :

Audit Results for Part B tier I

Total Score Part B tier I: Good Improvements Needed Non-Compliant
 Total Number of deviations in crucial questions : 0 / 35
 Agreed date for closing the Corrective Action Plan (If applicable) :
 Contingencies to be reported : Aborted Partially Conducted Combined With Other Systems
 Desktop Review Others

Audit Results for Part B tier II (Only relevant if Primary Production Methodology is used)

Please refer to section "Sampled Units Tier II" and "Audit Sample CAP B"

Audit Results for Part C (Only relevant if Part B tier I scores Good)

Total Score Part C: Good Improvements Needed

Audit Master Data Part A

For more details of the company, see complete Master Data in the on-line Audit Report

General information on the Producer			
Name of producer :	Lumi Legend Corporation		
Address :	Tianyang Industrial Park, Sanbei, Longshan Town, Cixi,Ningbo,Zhejiang, China, 315331,,Ningbo		
Year of foundation :	2008		
Legal status :	LIMITED CO		
Turnover (in euros) :	20916000.000		
Main contact person :	Lumi Legend Corp. Mr.		
Language spoken :	Mandarin		
Valid GlobalG.A.P Certificate :	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Other Social audit :	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Social audit name :	N/A

Auditors' notes

Producer's background

Re-Audit on July 29, 2014

Opening Meeting:

The re-audit of Lumi Legend Corporation was conducted on July 29, 2014 on an announced basis. Upon arrival, the auditor was greeted by Ms. ZHANG Kai(Management Representative), Mr. SUN Mingda(Office Director), Mr. FU Xianlin(Vice Office Director) and Mr. WU Weihui(Sales Manager). After exchanging business cards, a detailed opening meeting was held with the above facility management. The assessment purpose and processes, including documentation review, health and safety walkthrough and employee interview were explained to the facility management. The current re-assessment was granted. The documents were prepared in a timely manner. The facility management appeared to be cooperative during the whole assessment. The statement of integrity and the Declaration of Consent Letter were signed by Mr. ZHANG Kai during the opening meeting.

Closing Meeting:

The closing meeting was held with the facility management. The only finding was communicated with the facility management for CAP B. All findings for CAP C were communicated with the facility management as well. The facility management was receptive to the findings and stated that they would take corrective actions as soon as they could. Based on the current findings, the facility is graded as "Good".

Chapter summary

Chapter	Chapter Summary
B.3. Working Hours	Time records reviewed: March 2014 to July 28, 2014
B.4. Compensation	Payroll journals reviewed: March 2014 to May 2014

Part B Tier I - Overall Summary

Chapter	Full Audit Results (15839 and 16/04/2014)					Last Re-Audit Results (19733 and 29/07/2014)					
	G	IN	NC	NA	%	G	IN	NC	NA	NR	%
B.1. Management Practice	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	66.67	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00
B.2. Documentation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	66.67	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	83.33
B.3. Working Hours	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	0.00	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00
B.4. Compensation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	0.00	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00
B.5.1. Child Labour	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00
B.5.2. Young Workers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00
B.6. Forced Labour / Disciplinary Measures / Prison Labour	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00
B.7. Freedom of Association and Collective Bargaining	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00
B.8. Discrimination, Harassment and Abuse	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00
B.9. Working Conditions	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	57.14	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00
B.10. Health and Social Facilities	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	62.50	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00
B.11. Occupational Health and Safety (OHS)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	71.79	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00
B.12. Dormitories (if not applicable, go to B.13)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	100.00	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	100.00
B.13. Environment	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	60.00	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00
Total	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	68.29	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	99.13

In House Production Structure

Department	Function in production	Number of Workers	Number of shifts	Shift 1		Shift 2		Shift 3	
				From	To	From	To	From	To
Finances	Finance	4	1	7:30 AM	5:00 PM				
Human Resources	HR and Admin	3	1	7:30 AM	5:00 PM				
Quality Control	QA	14	1	7:30 AM	5:00 PM				
Production	Production management	5	1	7:30 AM	5:00 PM				
Production	Hardware	89	1	7:00 AM	4:30 PM				
Production	Plastic coating	47	1	7:30 AM	5:00 PM				
Production	Assmeby and packing	65	1	7:30 AM	5:00 PM				
Services	Others	15	1	7:30 AM	5:00 PM				
Services	Security guard	2	2	7:00 AM	3:00 PM	3:00 PM	11:00 PM		
Services	Canteen workers	4	1	8:00 AM	5:00 PM				

In House employment Structure at the time of the audit

Total number of workers :	248		
Male		Female	
Permanent workers	172	Permanent workers	76
In management position	18	In management position	7
On probation	0	On probation	0
National migrants	144	National migrants	57
Salaried workers	172	Salaried workers	76
Temporary workers (odd jobbers)	0	Temporary workers (odd jobbers)	0
Apprentices	0	Apprentices	0
With disabilities	0	With disabilities	0
Cross-border migrants	0	Cross-border migrants	0
Production based workers	0	Production based workers	0
		Pregnant	0
		On maternity leave	0

Outsourced structure

Unit	Function in the chain	Contact person	Business relation-ship since	Location/ Address				Distance to the company in km	Main product	Certificates and social audits (if applicable)	Number of workers	Part of the ISMS (if applicable)	Part of the audit sample
				Address	City	State	Country						
N/A											0		

Dormitories (if applicable)

No Data Available for Dormitories

Corrective Action Plan (CAP) B Tier I

Chapters and Subchapters	Crucial	Score	CAP	Responsible Person	Deadline date
B.2. Documentation					
B.2.5. Documentation	No	(-) [Minus]	<p>Full Audit(15839): Finding: It is noted that the training record didn't include the hours load and trainer's qualification.工厂的培训记录里没有包括培训的时长和培训者的资质。 Recommendation: It was recommended the factory to record the information mentioned above. 建议工厂保留以上提及的信息。</p> <p>1st Re Audit(19733): Previous initial audit finding on April 16, 2014: It is noted that the training record didn't include the hours load and trainer's qualification.工厂的培训记录里没有包括培训的时长和培训者的资质。 Recommendation: It was recommended the factory to record the information mentioned above. 建议工厂保留以上提及的信息。 Current Re-audit finding on July 29, 2014: Not corrected. One health and safety training record conducted on June 14, 2014 does not reflect the training hours load. All training records still does not reflect the trainer's qualification. 未改正。2014年6月14日进行的一次安全卫生培训记录未显示培训时间。所有培训记录还是未显示培训人的资质。 Recommendation: All training records should reflect the hours load and trainer's qualification. 所有培训记录都应显示培训时间和培训人的资质。</p>	Mr. ZHANG Kai	05/08/2014
Chapter Score		<input checked="" type="checkbox"/> Good <input type="checkbox"/> Improvements Needed <input type="checkbox"/> Non-Compliant			
Number of deviations in crucial questions		0 of 1			
Fulfillment Percentage		83.33%			
Total Audit Results for Part B Tier I					
Total Score		<input checked="" type="checkbox"/> Good <input type="checkbox"/> Improvements Needed <input type="checkbox"/> Non-Compliant			
Total number of deviations in crucial questions		0 of 35			
Overall fulfillment percentage		99.13%			

Part C: Audit Findings

Chapter	Current Audit Results (19733)			
	G	IN	NA	%
C.1. Policy	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	0.00
C.2. Planning and Implementation	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	0.00
C.3. Management Review	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	0.00
C.4. Control of Subcontractors / Suppliers / Sub-suppliers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	0.00
C.5. Control of Homeworkers	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	100.00
C.6. Compensation	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	0.00
C.7. Child Labour	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00
C.8. Outside Communication	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	0.00
Total	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	18.18

Corrective Action Plan Part C

Chapters and Subchapters	Crucial	Score	CAP	Responsible Person	Deadline date
C.1. Policy					
C.1.1	No	(-) [Minus]	1st Re Audit(19733): Finding: The facility does not have a social policy which reflects the commitment of the company to SA 8000 standards. 工厂没有一份承诺工厂会遵守SA8000标准的社会责任政策。 Recommendation: The facility should have a social policy which reflects the commitment of the company to SA 8000 standards. 工厂应有一份承诺工厂会遵守SA8000标准的社会责任政策。	Mr. ZHANG Kai	28/07/2015
Chapter Score		<input type="checkbox"/> Good <input checked="" type="checkbox"/> Improvements Needed			
Number of deviations in crucial questions		0 of 0			
Fulfillment Percentage		0.00%			
C.2. Planning and Implementation					
C.2.1	No	(-) [Minus]	1st Re Audit(19733): Finding: The facility does not have the procedures to ensure that the requirements of the SA8000 standard are understood and implemented at all levels of the organisation. 工厂没有程序保证所有人了解SA8000的要求并在全厂的各个阶层实施。 Recommendation: The facility should have the procedures to ensure that the requirements of the SA8000 standard are understood and implemented at all levels of the organisation. 工厂应有程序保证所有人了解SA8000的要求并在全厂的各个阶层实施。	Mr. ZHANG Kai	28/07/2015
Chapter Score		<input type="checkbox"/> Good <input checked="" type="checkbox"/> Improvements Needed			
Number of deviations in crucial questions		0 of 0			
Fulfillment Percentage		0.00%			
C.3. Management Review					
C.3.1	No	(-) [Minus]	1st Re Audit(19733): Finding: The top management does not periodically review the adequacy, and continuing effectiveness of the company's policy, procedures and performance results in accordance with the requirements of the SA 8000 standard. 工厂没有定期对照SA8000的标准来调整公司的政策，程序文件和实施成果。 Recommendation: The top management should periodically review the adequacy, and continuing effectiveness of the company's policy, procedures and performance results in accordance with the requirements of the SA 8000 standard. 工厂应定期对照SA8000的标准来调整公司的政策，程序文件和实施成果。	Mr. ZHANG Kai	28/07/2015
C.3.2	No	(-) [Minus]	1st Re Audit(19733): Finding: There is no evidence that indicates system amendments and improvements are implemented where appropriate. 工厂没有证据显示对制度进行适当修订和改善。 Recommendation: System amendments and improvements should be implemented where appropriate. 工厂应对制度进行适当修订和改善。	Mr. ZHANG Kai	28/07/2015
Chapter Score		<input type="checkbox"/> Good <input checked="" type="checkbox"/> Improvements Needed			
Number of deviations in crucial questions		0 of 0			
Fulfillment Percentage		0.00%			
C.4. Control of Subcontractors / Suppliers / Sub-suppliers					
C.4.1.	No	(-) [Minus]	1st Re Audit(19733): Finding: The company has not established or maintain appropriate procedures to recruit subcontractors/suppliers/sub suppliers based on their ability to meet the requirements of the SA8000 Standard. 公司未根据其迎合SA8000标准的要求的能力，建立并维持适当的程序，去聘用分包商/供货商/分供货商。 Recommendation: The company should establish or maintain appropriate procedures to recruit subcontractors/suppliers/sub suppliers based on	Mr. ZHANG Kai	28/07/2015

C.4.2.	No	(-) [Minus]	<p>their ability to meet the requirements of the SA8000 Standard. 公司应根据其迎合SA8000标准的要求的能力, 建立并维持适当的程序, 去聘用分包商/供货商/分供货商。</p> <p>1st Re Audit(19733): Finding: The company does not maintain appropriate records of subcontractors/suppliers/sub suppliers commitments to the requirements of the SA 8000 standard. 工厂没有保留适当的记录来证明分包商/供货商/分供货商承诺遵守SA8000标准。 Recommendation: The company should maintain appropriate records of subcontractors/suppliers/sub suppliers commitments to the requirements of the SA 8000 standard. 工厂应保留适当的记录来证明分包商/供货商/分供货商承诺遵守SA8000标准。</p>	Mr. ZHANG Kai	28/07/2015
Chapter Score		<input type="checkbox"/> Good <input checked="" type="checkbox"/> Improvements Needed			
Number of deviations in crucial questions		0 of 0			
Fulfillment Percentage		0.00%			
C.6. Compensation					
C.6.1.	No	(-) [Minus]	<p>1st Re Audit(19733): Finding: The wage paid by the facility is less than the basic needs wage. 工厂支付的工资低于基本工资水平。 Recommendation: The wages paid by the facility should meet the basic needs wage. 工厂支付的工资应达到基本工资水平。</p>	Mr. ZHANG Kai	28/07/2015
C.6.2.	No	(-) [Minus]	<p>1st Re Audit(19733): Finding: The company does not have a well-defined plan for achieving the basis needs wage within a specified and reasonable period of time. 公司没有一个周详的计划来确保在一个合理的时间段内达到基本工资水平。 Recommendation: The company should have a well-defined plan for achieving the basis needs wage within a specified and reasonable period of time. 公司应有一个周详的计划来确保在一个合理的时间段内达到基本工资水平。</p>	Mr. ZHANG Kai	28/07/2015
Chapter Score		<input type="checkbox"/> Good <input checked="" type="checkbox"/> Improvements Needed			
Number of deviations in crucial questions		0 of 0			
Fulfillment Percentage		0.00%			
C.8. Outside Communication					
C.8.1.	No	(-) [Minus]	<p>1st Re Audit(19733): Finding: The company has not established procedures to communicate regularly to all interested parties data and other information regarding performance of the requirements of the SA8000 Standard, including, but not limited to, the results of management reviews and monitoring activities. 公司未建立和维持一套程序, 以定期与所有利益关系方就SA8000标准要求的表现进行沟通, 当中包括, 但不限于, 管理检讨和监察活动的结果。 Recommendation: The company should establish procedures to communicate regularly to all interested parties data and other information regarding performance of the requirements of the SA8000 Standard, including, but not limited to, the results of management reviews and monitoring activities. 公司应建立和维持一套程序, 以定期与所有利益关系方就SA8000标准要求的表现进行沟通, 当中包括, 但不限于, 管理检讨和监察活动的结果。</p>	Mr. ZHANG Kai	28/07/2015
Chapter Score		<input type="checkbox"/> Good <input checked="" type="checkbox"/> Improvements Needed			
Number of deviations in crucial questions		0 of 0			
Fulfillment Percentage		0.00%			
Total Audit Results for Part C					
Total Score		<input type="checkbox"/> Good <input checked="" type="checkbox"/> Improvements Needed			
Total number of deviations in crucial questions		0 of 0			
Overall fulfillment percentage		18.18%			

Workers Interview Sheet

Workers Interview Sheet			
Workers	Male workers number	Female workers number	% of the total workforce
Total	10	5	6.05
Workers aged < 18 years interviewed	0	0	0.00
Apprentices interviewed	0	0	0.00
Disabled persons interviewed	0	0	0.00
Full time workers interviewed	10	5	6.05
Part time workers interviewed	0	0	0.00
Seasonal workers interviewed	0	0	0.00
Migrant workers interviewed	8	5	5.24
Pregnant workers interviewed		0	0.00

Interview methods used	number (how many)
Number of Individual interviews conducted	8
# of people interviewed in Group(s)	7
# of Interviews conducted on site	15
# of Interviews conducted off site	0
Was an interpreter used?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Language(s) used for worker interviews	Mandarin

No. of interview	Essentials (If the number of rows is not enough, please add pages as needed and include with the attachments).
1	During the current assessment, 15 employees were randomly selected for interviews. All employees interviewed are above 18 years old during the current assessment. No any kind of discrimination regarding the age, gender or other personal characteristics was reported by the interviewees. As reported, no deposit upon hire or documents withheld exists in the facility. The employees have free access to the restrooms or drink water. All the employees are free to leave the facility after their shift. No any kind of forced labor was detected in the facility.

Child and Young Workers Record Sheet

Child and Young Workers Record Sheet								
Please only complete this table if you have children (anyone below the national minimum age) or young workers (anyone below the national minimum age but below 18 years of age) in your company								
Name	Birthday (dd/mm/yyyy)	Attending school?	Working start date (dd/mm/yyyy)	Department he/she works in	working time schedule (From)	working time schedule (To)	Average working hours per week	Comments
N/A							0.000	

Overall Producer Pictures

No Photos Available